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FACSIMILE TRANSMITTAL SHEET

TO:

Peter Rydel, Esq.
John Meerbergen, Esq.

FROM:

Mark F. Katz

COMPANY:

DATE:

February 25, 2010

FAX NUMBER:

1-203-752-5001
203-661-1197

TOTAL NO. OF PAGES INCLUDING COVER:

3

RE:

YOUR REFERENCE NUMBER:

The Estate of Elizabeth Anne Caro

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

NOTES/COMMENTS:

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February 25, 2010

VIA FACSIMILE: 203-964-1830

The Honorable Gerald Fox Jr.
Stamford Probate Court
888 Washington Blvd
Stamford, CT 06901

Re: The Estate of Elizabeth Anne Caro

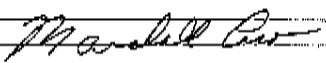
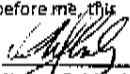
Dear Judge Fox:

Enclosed please find Marshall Caro's sworn financial affidavit.

Sincerely yours,


Mark F. Katz

MFK: jlk
enclosure
cc: Peter Rydel, Esq.
John Meerbergen

Financial Affidavit of Marshall Caro				
Assets		Quantity	Asset Values	Liabilities
Brokerage Assets				
FIDELITY CT MUNICIPAL MONEY MKT		4409.91		
IDEARC INC COM DELAWARE NO STOCKHOLDER EQUITY 12/31/2009		22		
CH ENERGY GROUP INC (Margin)		66		
COMCAST CORP NEW CL A (Margin)		51		
CONSOLIDATED EDISON HLDG CO INC		66		
FIDELITY CASH RESERVES		2336.18		
JOHN HANCOCK REGIONAL BANK CL A		153		
FAIRPOINT COMMUNICATIONS INC		8		
FIDELITY SELECT MONEY MARKET		9427.9		
FIDELITY SELECT MONEY MARKET (Margin)		10290.37		
GREAT PLAINS ENERGY INC (Margin)		300		
JPMORGAN CHASE & CO (Margin)		96		
CORTS TR BELL SOUTH CAP FDG DEBS CORP BKD TR SECS 7.12%		266		
NORDIC AMERICAN TANKER SHIPPING USDQ.01 (Margin)		66		
OLIN CORP NEW (Margin)		66		
PLAINS ALL AMERICAN PIPELINE LP (Margin)		33		
PRUDENTIAL HIGH YIELD CLASS A		213.645		
PROGRESS ENERGY INC (Margin)		66		
PHILIP MORRIS INTL INC COM (Margin)		66		
AT&T INC COM (Margin)		149		
			\$65,000	
IRA Retirement Accounts			\$200,000	
Real Estate (47 Angelus Drive)			\$1,200,000	
Bank and MM Accts			\$20,000	
Debts				
Mortgage (47 Angelus Drive)				\$710,000
Legal Services				\$25,000
Medical/Dental				\$5,000
Credit Cards				\$3,000
Current Assets (Bank & MM Accts + Brokerage Assets)			\$85,000	
Total Net Worth (All Assets - All Liabilities)			\$742,000	
Current Annual Income			\$23,196	
2009 Expenses			\$173,292	
Statement				
6-Jan-09		\$2,236.24		
4-Feb-09		\$11,808.90		
4-Mar-09		\$10,593.01		
6-Apr-09		\$26,752.12		
5-May-09		\$6,115.77		
3-Jun-09		\$13,153.81		
6-Jul-09		\$32,962.13		
5-Aug-09		\$12,783.60		
4-Sep-09		\$5,759.31		
6-Oct-09		\$8,423.09		
4-Nov-09		\$17,139.94		
4-Dec-09		\$12,166.90		
31-Dec-09		\$13,397.37		
by: Marshall Caro 				
SWORN AND SUBSCRIBED TO, before me, this day of February, 2010.				
 Notary Public, My commission expires: 11/30/2010				